

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
As on financial year ended on 31.03.2020

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:		
1	CIN	U67200DL2002PLC117625
2	Registration Date	11-November-2002
3	Name of the Company	Bajaj Capital Insurance Broking Limited
4	Category/Sub-category of the Company	Public company limited by shares Indian Non- Government Company
5	Address of the Registered office & contact details	Bajaj House 97 Nehru Place, New Delhi-110019
6	Whether listed company	No
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	RCMC Share Registry Private Limited, B-25/1, Okhla Industrial Area Phase II, New Delhi -110020

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY			
(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)			
S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Insurance Broking	66220	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES					
SN	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	N.A.	N.A.	N.A.	N.A.	N.A.

IV. SHARE HOLDING PATTERN	
(Equity share capital breakup as percentage of total equity)	

Category of Shareholders	No. of Shares held at the beginning of the year [As on 31-March-2019]				No. of Shares held at the end of the year [As on 31-March-2020]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/	-	2,498,500	2,498,500	99.94%	1,848,500	650,000	2,498,500	99.94%	0.00%
b) Central Govt	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) State Govt(s)	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) Bodies Corp.	-	-	-	0.00%	-	-	-	0.00%	0.00%
e) Banks / FI	-	-	-	0.00%	-	-	-	0.00%	0.00%
f) Any other	-	-	-	0.00%	-	-	-	0.00%	0.00%
Sub Total (A) (1)	-	2,498,500	2,498,500	99.94%	1,848,500	650,000	2,498,500	99.94%	0.00%
(2) Foreign									
a) NRI Individuals	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Other	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) Bodies Corp.	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) Any other	-	-	-	0.00%	-	-	-	0.00%	0.00%
Sub Total (A) (2)	-	-	-	0.00%	-	-	-	0.00%	0.00%
TOTAL (A)	-	2,498,500	2,498,500	99.94%	1,848,500	650,000	2,498,500	99.94%	0.00%

B. Public									
1. Institutions									
a) Mutual Funds	-	-	-	0.00%	-	-	-	-	0.00%
b) Banks / FI	-	-	-	0.00%	-	-	-	-	0.00%
c) Central Govt	-	-	-	0.00%	-	-	-	-	0.00%
d) State Govt(s)	-	-	-	0.00%	-	-	-	-	0.00%
e) Venture Capital Funds	-	-	-	0.00%	-	-	-	-	0.00%
f) Insurance	-	-	-	0.00%	-	-	-	-	0.00%
g) FIIs	-	-	-	0.00%	-	-	-	-	0.00%
h) Foreign Venture Capital	-	-	-	0.00%	-	-	-	-	0.00%
i) Others (specify)	-	-	-	0.00%	-	-	-	-	0.00%
Sub-total (B)(1):-	-	-	-	0.00%	-	-	-	-	0.00%
2. Non-									
a) Bodies Corp.									
i) Indian	-	-	-	0.00%	-	-	-	-	0.00%
ii) Overseas	-	-	-	0.00%	-	-	-	-	0.00%
b) Individuals									
i) Individual shareholders holding nominal share capital upto	-	1,500	1,500	0.06%	-	1500	1500	0.06%	0.00%
ii) Individual shareholders holding nominal share capital in	-	-	-	0.00%	-	-	-	-	0.00%
c) Others (specify)									
Non Resident	-	-	-	0.00%	-	-	-	-	0.00%
Overseas Corporate Bodies	-	-	-	0.00%	-	-	-	-	0.00%
Foreign Nationals	-	-	-	0.00%	-	-	-	-	0.00%
Clearing Members	-	-	-	0.00%	-	-	-	-	0.00%
Trusts	-	-	-	0.00%	-	-	-	-	0.00%
Foreign Bodies - D	-	-	-	0.00%	-	-	-	-	0.00%
Sub-total (B)(2):-	-	1,500	1,500	0.06%	-	-	0.06%	-	0.00%
Total Public (B)	-	1,500	1,500	0.06%	-	-	0.06%	-	0.00%
C. Shares held by Custodian for GDRs & ADRs	-	-	-	0.00%	-	-	-	-	0.00%
Grand Total	-	2,500,000	2,500,000	100.00%	1,848,500	650,000	100%	-	0.00%

(ii) Shareholding of Promoter

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. of Shares			
1	Mr. Kuldip Kumar Bajaj	823,000	32.92%	0	823,000			0.00%
2	Mr. Rajiv Bajaj	650,000	26.00%	0	650,000			0.00%
3	Mr. Sanjiv Bajaj	650,500	26.02%	0	650,500			0.00%
4	Mrs. Sanyokta Bajaj	375,000	15.00%	0	375,000			0.00%

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

SN	Particulars	Date	Reason	Shareholding at the beginning of the year				% of total shares
				No. of shares	% of total shares			
	At the beginning of the	01-Apr-19		0	0.00%			0.00%
	Changes during the year	NA		0	0.00%			0.00%
	At the end of the year	31-Mar-20		0	0.00%			0.00%

(iv) Shareholding Pattern of top ten Shareholders*(Other than Directors, Promoters and Holders of GDRs and ADRs):*

SN	For each of the Top 10 shareholders	Date	Reason	Shareholding at the beginning of the year				% of total shares
				No. of shares	% of total shares			
1	Name: Mrs. Rachna							
	At the beginning of the	01-Apr-19		500	0.02%			0.00%
	Changes during the year	NA		-	0.00%			0.00%
	At the end of the year	31-Mar-20		500	0.02%			0.00%

(v) Shareholding of Directors and Key Managerial Personnel:

SN	Shareholding of each Directors and each Key Managerial Personnel	Date	Reason	Shareholding at the beginning of the year				% of total shares
				No. of shares	% of total shares			
1	Name: Mr. Sanjiv Bajaj							
	At the beginning of the	01-Apr-19		650,500	26.02%			0.00%
	Changes during the year	NA		-	0.00%			0.00%
	At the end of the year	31-Mar-20		650,500	26.02%			0.00%
2	Name: Mr. Anil Kumar Chopra							
	At the beginning of the	01-Apr-19		500	0.02%			0.00%
	Changes during the year	NA		-	0.00%			0.00%
	At the end of the year	31-Mar-20		500	0.02%			0.00%
3	Name: Mr. Vinod Sahgal							
	At the beginning of the	01-Apr-19		500	0.02%			0.00%
	Changes during the year	NA		-	0.00%			0.00%
	At the end of the year	31-Mar-20		500	0.02%			0.00%

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits			
Indebtedness at the beginning of the financial year						
i) Principal Amount	12,433,552	13,317,642	-			
ii) Interest due but not paid	-	-	-			
iii) Interest accrued but not	-	-	-			
Total (i+ii+iii)	12,433,552	13,317,642	-			
Change in Indebtedness during the financial year						
* Addition			-			
* Reduction	-4,419,377	-3,271,350	-			
Net Change	-4,419,377	-3,271,350	-			
Indebtedness at the end of the financial year						
i) Principal Amount	8,014,175	10,046,292	-			
ii) Interest due but not paid	-	-	-			
iii) Interest accrued but not due	-	-	-			
Total (i+ii+iii)	8,014,175	10,046,292	-			

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL
A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount
	Name	Mr. Vinod Sahgal	Mr. Mukesh Jain	
	Designation	Managing Director	WTD (<i>resigned w.e.f 10/04/2020</i>)	
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income tax Act, 1961	1,035,764	40,271	1,076,035
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-		-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-		-
2	Stock Option	-		-
3	Sweat Equity	-		-
4	Commission	-		-
	- as % of profit			-
	- others, specify			-
5	Others, please specify	-		-
	Total (A)	1,035,764	40,271	1,076,035
	Ceiling as per the Act	40,855,064	40,855,064	40,855,064

B. Remuneration to other Directors

SN.	Particulars of Remuneration	Name of Directors		Total Amount
				(Rs/Lac)
1	Independent Directors	N.A.	N.A.	
	Fee for attending board committee	-	-	-
	Commission	-	-	-
	Others, please specify	-	-	-
	Total (1)	-	-	-
2	Other Non-Executive Directors	Mr. Sanjiv Bajaj	Mr. Anil Kumar Chopra	
	Fee for attending board meetings	7,000	7,000	14,000
	Commission	-	-	-
	Others, please specify	-	-	-
	Total (2)	7,000	7,000	14,000
	Total (B)=(1+2)	7,000	7,000	14,000
	Total Managerial Remuneration (A + B)			1,090,035
	Overall Ceiling as per the Act (per meeting)	100,000	100,000	..

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD: N.A.

SN.	Particulars of Remuneration	Name of Key Managerial Personnel		Total Amount
	Name			(Rs/Lac)
	Designation	CEO	CFO	
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961			-
	(b) Value of perquisites u/s 17(2) Income-			-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961			-
2	Stock Option			-
3	Sweat Equity			-
4	Commission			-
	- as % of profit			-
	- others, specify			-
5	Others, please specify			-
	Total	-	-	-

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]			
A. COMPANY							
Penalty							
Punishment							
Compounding							
B. DIRECTORS							
Penalty							
Punishment							
Compounding							
C. OTHER OFFICERS IN DEFAULT							
Penalty							
Punishment							
Compounding							

For and on behalf of the

Sd/-

Anil Kumar Chopra
Director
DIN:00011602

Sd/-

Vinod Sahgal
Principal Officer & Managing Director
DIN:00009826

Place: New Delhi
Date: 29.09.2020